



3722

Case No.: POWRD-014B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Raymond G. Freuler et al.)
Serial No.: 09/834,158)
Filed: 04/12/01)
For: Thermal Interface)
Composition With Induced)
Adhesive Property)

Group No.: 3722

Examiner: Bishop, Steven C.

TC 3700 MAIL ROOM

JUL 18 2001

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NOTICE OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON D C 20231

Dear Sir:

Applicant submits herewith a copy of the NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS in relation to above-identified patent application. The patent application is a CIP of United States patent application serial no. 09/573,508 filed 5/18/2000. Through error without deceptive intent, the patent application was inadvertently filed as a small entity which is no longer appropriate. Applicant respectfully submits the additional fees for the large entity status.

If any additional fee is required, please charge Deposit Account Number 19-4330.

Respectfully submitted,

Date: 7/15/01

By: Lowell Anderson

Lowell Anderson
Registration No. 30,990
STETINA BRUNDA GARRED & BRUCKER
75 Enterprise, Suite 250
Aliso Viejo, CA 92656
(949) 855-1246

07/15/2001 JADD01 00000074 09834158

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MAN/ms

Practitioner' Do ket No. POWRD-014A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Raymond Freuler
Application No.: 09 / 573,508 Group No. 1751
Filed: 05/18/00 Examiner: Unknown
For: GRAPHITIC ALLOTROPE INTERFACE COMPOSITION AND METHOD OF FABRICATING THE
SAME
☐ Patent No.: _____ Issued: _____

*NOTE: Insert name of inventor(s) and title also for patent. Where notification is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

Assistant Commissioner for Patents
Washington, D.C. 20231

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS
(37 C.F.R. § 1.28(b))

NOTE: Notification of any change in status resulting in loss of entitlement to small entity status must be filed in an application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate, pursuant to § 1.9 of this Part. The notification of change in status may be signed by the applicant, any person authorized to sign on behalf of the assignee, or an attorney or agent of record or acting in a representative capacity pursuant to § 1.34(a) of this Part. 37 C.F.R. § 1.28(b)

NOTE: From the above portion of 37 C.F.R. § 1.28(b), it is only a change in status "resulting in loss of entitlement to small entity status" that must be filed, and a change from one small entity status to another small entity status requires no notification.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

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FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 12/20/2000

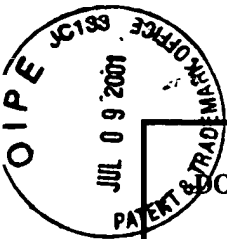
Signature

Kristin Stenberg

(type or print name of person certifying)

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3722
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POCKET NO.: POWRD014B

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UNDER 37 CFR 1.8 OR 37 CFR 1.10

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WASHINGTON, D.C. 20231

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on July 5, 2001
(Date)

Michelle Schroeder
Signature

MICHELLE SCHROEDER

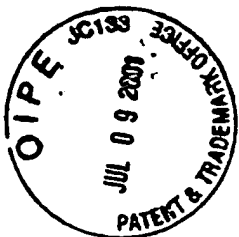
Typed or printed name of person signing Certificate

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JUL 20 2001
TC 1700

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1. TRANSMITTAL;
2. FEE TRANSMITTAL (IN DUPLICATE);
3. COPY OF NOTICE OF LOSS OF SMALL ENTITY STATUS;
4. STATEMENT OF LOSS OF SMALL ENTITY STATUS;
5. CHECK FOR \$355.00 (Additional Fee for Large Entity Status);
6. CERTIFICATE OF MAILING; AND
7. RETURN RECEIPT POSTCARD.

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PTO/SB/21 (6-98)

†

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Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

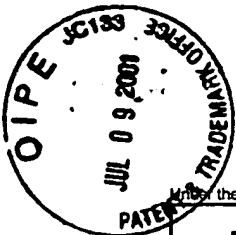
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/834,158	
	Filing Date	4/12/01	
	First Named Inventor	Raymond G. Freuler	
	Group Art Unit	1751	
	Examiner Name	Unknown	
Total Number of Pages in This Submission		Attorney Docket Number	POWRD-014B

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Charge Any Additional Fee Required, to Deposit Account No. 19-4330 <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69 and Accompanying Petition) <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Certificate of Mailing; Notice of Loss of Entitlement to Small Entity Status; Copy of Notification of Loss of Small Entity Status; and Return Receipt Postcard.
REMARKS: <div style="text-align: center;">RECEIVED JUL 20 2001 TC 1700</div> <div style="float: right; writing-mode: vertical-rl; transform: rotate(180deg);">RECEIVED JUL 18 2001 TC 3700 MAIL ROOM</div>		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual Name	Lowell Anderson STETINA BRUNDA GARRED & BRUCKER	
Signature		
Date	7/5/01	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>7/5/01</u>			
Typed or printed name	MICHELLE SCHROEDER		
Signature		Date	7/5/01

† SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



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FEE TRANSMITTAL for FY 2001 Patent fees are subject to annual revision.		Complete if Known	
		Application Number	09/834,158
		Filing Date	4/12/01
		First Named Inventor	Raymond G. Freuler
		Examiner Name	Unknown
TOTAL AMOUNT OF PAYMENT	(\$ 355.00)	Group Art Unit	1751
		Attorney Docket No.	POWRD-014B

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-4330</u> Deposit Account Name <u>STETINA BRUNDA ET AL.</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge -late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 390</td><td>216 195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 890</td><td>217 445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,390</td><td>218 695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,890</td><td>228 945</td><td>Extension for reply within fifty month</td><td></td></tr><tr><td>119 310</td><td>219 155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 310</td><td>220 155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 270</td><td>221 135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive-unavoidable</td><td></td></tr><tr><td>141 1,240</td><td>241 620</td><td>Petition to revive-unintentional</td><td></td></tr><tr><td>142 1,240</td><td>242 620</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 440</td><td>243 220</td><td>Design issue fee</td><td></td></tr><tr><td>144 600</td><td>244 300</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Statement</td><td></td></tr><tr><td>581 40</td><td>5 81 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 710</td><td>246 355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 710</td><td>249 355</td><td>For each additional invention to be examined</td><td></td></tr><tr><td>179 710</td><td>279 355</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169 900</td><td>169 900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td></td></tr><tr><td colspan="2">* Reduced by Basic Filing Fee Paid</td><td>SUBTOTAL (3)</td><td>\$ _____</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath		127 50	227 25	Surcharge -late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for ex parte reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 390	216 195	Extension for reply within second month		117 890	217 445	Extension for reply within third month		118 1,390	218 695	Extension for reply within fourth month		128 1,890	228 945	Extension for reply within fifty month		119 310	219 155	Notice of Appeal		120 310	220 155	Filing a brief in support of an appeal		121 270	221 135	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive-unavoidable		141 1,240	241 620	Petition to revive-unintentional		142 1,240	242 620	Utility issue fee (or reissue)		143 440	243 220	Design issue fee		144 600	244 300	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Statement		581 40	5 81 40	Recording each patent assignment per property (times number of properties)		146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))		149 710	249 355	For each additional invention to be examined		179 710	279 355	Request for Continued Examination (RCE)		169 900	169 900	Request for expedited examination of a design application		Other fee (specify) _____				* Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	\$ _____
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2. EXTRA CLAIM FEES <table border="1"><thead><tr><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Total Claims _____ - 20** = _____</td><td>X _____</td><td>= _____</td></tr><tr><td>Independent Claims _____ - 3 = _____</td><td>X _____</td><td>= _____</td></tr><tr><td>Multiple Dependent _____</td><td>_____</td><td>= _____</td></tr></tbody></table> <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102 80</td><td>202 40</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104 270</td><td>204 135</td><td></td><td></td></tr><tr><td>109 80</td><td>209 40</td><td></td><td></td></tr><tr><td>110 18</td><td>210 9</td><td></td><td></td></tr><tr><td colspan="2">SUBTOTAL (2)</td><td></td><td>\$ _____</td></tr></tbody></table> <p>**or number previously paid, if greater; For Reissues, see above</p>		Extra Claims	Fee from below	Fee Paid	Total Claims _____ - 20** = _____	X _____	= _____	Independent Claims _____ - 3 = _____	X _____	= _____	Multiple Dependent _____	_____	= _____	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 80	202 40	Independent claims in excess of 3		104 270	204 135			109 80	209 40			110 18	210 9			SUBTOTAL (2)			\$ _____																																																																																						
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1. BASIC FILING FEE <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 710</td><td>201 355</td><td>Utility Filing fee</td><td>355.00</td></tr><tr><td>106 320</td><td>206 160</td><td>Design Filing fee</td><td></td></tr><tr><td>107 490</td><td>207 245</td><td>Plant Filing fee</td><td></td></tr><tr><td>108 710</td><td>208 355</td><td>Reissue Filing fee</td><td></td></tr><tr><td>114 150</td><td>214 75</td><td>Provisional Filing fee</td><td></td></tr><tr><td colspan="2">SUBTOTAL (1)</td><td></td><td>\$ 355.00</td></tr></tbody></table> <p>THE APPLICATION IS A LARGE ENTITY AND A PREVIOUS \$355.00 WAS PAID ON 4/12/01.</p>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 710	201 355	Utility Filing fee	355.00	106 320	206 160	Design Filing fee		107 490	207 245	Plant Filing fee		108 710	208 355	Reissue Filing fee		114 150	214 75	Provisional Filing fee		SUBTOTAL (1)			\$ 355.00																																																																																																		
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SUBMITTED BY:		Complete (if applicable)	
Name (Print/Type)	LOWELL ANDERSON	Registration No. Attorney	30,990
Signature	<i>Lowell Anderson</i>	Telephone	(949) 855-1246
		Date	7/5/01

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